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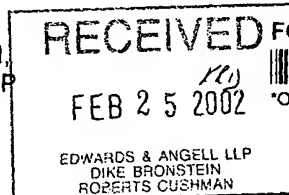
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/056,255	01/24/2002	Katsushige Masui	56910 (70868)

21874

DIKE, BRONSTEIN, ROBERTS AND CUSHMAN,
INTELLECTUAL PROPERTY PRACTICE GROUP
EDWARDS & ANGELL, LLP.
P.O. BOX 9169
BOSTON, MA 02209



CONFIRMATION NO. 7827

FORMALITIES LETTER



0000000007480435

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APR 11 2002
TECHNOLOGY CENTER 800
Date Mailed: 02/14/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/13/2002 HHARZ11 00000071 10056255

01 FC:105

130.00 OP

File Missing Parts
Edwards & Angell LLP

Dike, Bronstein, Roberts & Cushman
101 Federal St. Boston, MA 02110

Date Rec'd 2/25/02

Docketed For 14-Sep-14-2002

By KRD

Approved MPA 2/26/02



Sector/4
Attorney Docket No. 56910 (70868)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: K. Masui et al.

EXAMINER: Unknown

SERIAL NO.: 10/056,255

GROUP: 2874

FILED: January 24, 2002

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FOR: HOLOGRAPHIC LASER AND OPTICAL PICKUP

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC, 20231 on March 1, 2002.

By:

Carren L. Mundee

TRANSMITTAL FOR MISSING PARTS FILING

Sir:

The following papers are enclosed herewith:

1. A copy of the Notice to File Missing Parts mailed February 14, 2002;
2. A combined Declaration and Power of Attorney signed by all of the inventors;
3. Assignment and Assignment Cover Sheet; and
4. Certified copies of the following priority documents:

Country:	Japan
Application Number:	2001-016289
Date of Application:	January 24, 2001

Country:	Japan
Application Number:	2001-394848
Date of Application:	December 26, 2001

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The following fees are enclosed herewith:

- | | | |
|----|---|------------------|
| 1. | Surcharge for late payment of Declaration | \$ 130.00 |
| 2. | Recordation of Assignment | \$ 40.00 |
| | | <u>\$ 170.00</u> |

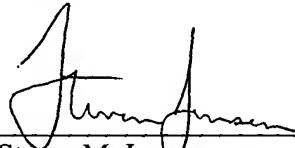
Enclosed herewith is a check for \$170.00 in payment of the total fee due.

Applicants believe that additional fees are not required, however, if for any reason a fee is required, a fee paid is inadequate or credit is owed for any excess fee paid, you are hereby authorized and requested to charge Deposit Account No. **04-1105**.

Respectfully submitted,

Date: March 1, 2002

By: _____


Steven M. Jensen
(Reg. No. 42,693)

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